





Advanced GPU techniques

© 2004-2018 Josef Pelikán, Jan Horáček CGG MFF UK Praha

pepca@cgg.mff.cuni.cz http://cgg.mff.cuni.cz/~pepca/

Content



advanced lighting

- environment maps
- light maps, irradiance maps, refraction, bump-mapping
- multi-pass algorithms
 - buffers (stencil buffer, depth buffer, accumulate buffer)
- shadow casting
 - "shadow buffers", projected shadows, volume shadows
- CSG rendering
- non-photorealistic techniques
- photorealism: BRDFs, sub-surface scattering, ...

Normal map ("bump-map")





- modulation of normal vector (originally from 3D model)
- imitation of surface imperfections ... rough, bumpy surface
- ♦ data in regular 2D texture ("R² → R³", "[s, t] → [N_x, N_y, N_z]") "normal map"

"tangent space"

- axes: tangent T, normal N, binormal B
- normal map contains "relative" data (normal vector in tangent space) [N_t, N_n, N_b]
 - ideal normal [0,1,0]



Normal map in tangent space





- if there is <u>no need of world space</u>, we can transform all relevant vectors to the **tangent space** (vertex process.)
 - view vector, light vectors ("half vectors"), ..
 - lighting can be done in tangent space (= normal map space)
- or we must <u>stay in world space</u>
 - because of some global techniques ("environment map")
 - *** tangent → world**" matrix could be interpolated (orthogonality issues!?)
 - normal map values have to be transformed back to the world space

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Environment maps

concept



- HW implements six-part texture six faces of a cube ("cube-mapping")
- addressing by **3D vector** (needs not be normalized)
- **static** or **dynamic** data (possibility of preprocessing)

popular use

- perfect <u>mirror reflection</u> ("environment map")
- <u>glossy reflection</u>, <u>diffuse component</u> simulations of real lighting conditions
- refraction of light
- combination with "<u>bump-mapping</u>"

"Cube-mapping"

other utilization

- 3D vector normalization, ...
- ◆ storage of any computationally demanding function (up to R³ → R³) for shaders

"environment mapping"

- input 3D vector must be in **world coordinate space**
- transform matrix "model \rightarrow world" is needed
- in shader languages there are support functions for reflection and refraction



Coordinate spaces





Enhanced lighting



diffuse component

- cube-map is addressed by the **normal vector N**
- precomputed incoming light total (integral) using the "cos α" factor

specular component

- exact representation of models with qualitative term
 "cos β"
- cube-map is addressed by the reflected vector R ("reflect()" in GLSL)
- pre-computed environment blur using the "cos^h β" factor

Lighting-related maps







simplified approach

- cube-map is addressed by the refraction **vector T**
- usually the perfect (not blurred) environment image is used
 - we can use **blurred environment** " $\cos^{e} \gamma$ " as well

light dispersion can be simulated

- variation of index of refraction for different wavelengths
- shared environment image

Multi-pass algorithms



- 3D scene (or its part) is processed on GPU multiple times
 - different GPU settings (buffer setting, depth-test, stencil-test, rendering parameters)
 - different transformation matrix, projection
 - different shaders
- data exchange/sharing between passes
 - GPU buffers (frame buffer, depth-buffer, <u>stencil buf-fer</u>, <u>accumulation buffer</u>, general-purpose buffers)
 - textures (shadow map, environment map, ...)

Accumulation buffer, environment

accumulation buffer usage:

- <u>anti-aliasing</u>
- motion blur simulation
- <u>depth of field</u> simulation
- iterated scene pass with slightly different rendering settings – transform (projection) matrix mostly

dynamic computation of **environment image**:

- we want an animation to be reflected on other objects
- cube-map: we need to do scene rendering 6-times
 reduction based on animation specifics

Shadow casting



- several approaches
 - sharp shadows (one pass)
 - soft shadows (more "passes", accumulation of results)
- single shadow-receiving plane
 - simple approach, not generally usable

shadow mapping

shadow "depth-buffer", <u>supported in HW</u>

shadow volumes

precise but very computationally intensive



- sharp shadows ... point light source
- use of stencil buffer and multiple scene passes
 - stencil prevents shadow duplication
- simple algorithm
 - single shadow-receiving plane
 - shadow could be **opaque** (destroying the original surface color) or **transparent** (only reducing the amount of light)



Shadow casting to single plane

 projection matrix (math sense of the word) from 3D world into shadow-receiving plane





Shadow casting to a plane

procedure

- **1.** the whole scene is rendered using **ordinary projection**
 - shadow-receiver sets stencil to 1
 - all the other objects zero this bit
- **2.** all potential **shadow-casters** are rendered to the shadow-receiving plane
 - depth-test is off
 - special transformation matrix
 - shadows are drawn only to the (stencil==1) pixels
 - if semi-transparent shadows are required, the first write into the frame-buffer should also zero the stencil

Shadow mapping



- **1.** scene is rendered from the **light-source viewpoint**
 - no need to modify frame buffer, only **depth-buffer** has to be updated
- **2.** depth-buffer is moved into a texture ("**shadow map**")
 - regular projection according to the camera
 - use of **projective texture coordinates**
 - GPU can test actual distance of a fragment from the light source (in the world space) against the pre-computed value stored in the shadow-map texture:

float4 shadow = tex2Dproj(shadowMap, texCoordProj);

Shadow mapping







- every shadow-caster casts an infinite "shadow volume" (shadow solid)
- lateral faces of a shadow solid are considered, but invisible, virtual quadrilaterals
 - virtual ray from the camera is tested against these faces
 - GPU can rasterize the virtual faces and "draw" them into the stencil buffer (no need to change frame buffer)
- at the end stencil buffer values define shadows in the scene
 - this has to be done separately for each point light source

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- common first phase: rendering of the real scene
 - writing to the depth-buffer, lighting: "ambient"
- shadow face is either front-facing or back-facing
 - shadow volumes do not modify depth-buffer (but are tested against it)
- second phase: only lateral shadow volume faces are rasterized:
 - front-facing visible face increments the stencil
 - **back-facing visible** face **decrements** the stencil
- third phase: stencil==0 means "light"
 - contribution of the light source is added

Shadow volumes I







Shadow volumes I – failure





- camera can be placed anywhere
 - shadow solid is perfectly sealed using "caps": one is formed by an <u>illuminated part</u> of an object, the second one lies in <u>infinity</u>
- second phase: lateral shadow faces and both "caps"
 - **front-facing <u>invisible</u>** face <u>decrements</u> the stencil
 - **back-facing <u>invisible</u>** face <u>increments</u> the stencil
- third phase: stencil==0 means "light"
 contribution of the light source is added

Shadow volumes II







Shadow volumes II - correct



Vertices in infinity



- lateral faces in the back "cap" need to have vertices in infinity
 - more distant than any other objects in a scene
- vertex projection [$\mathbf{x}, \mathbf{y}, \mathbf{z}, \mathbf{1}$] to infinity: [$\mathbf{x}, \mathbf{y}, \mathbf{z}, \mathbf{0}$]
- **projection matrix** for value "**far = \infty**"

$$A = \frac{2n}{r-l} \quad B = \frac{r+l}{r-l} \quad C = \frac{2n}{t-b} \quad D = \frac{t+b}{t-b}$$
$$M(n, \infty, r, l, t, b) = \begin{bmatrix} A & 0 & 0 & 0\\ 0 & C & 0 & 0\\ -B & -D & 1 & 1\\ 0 & 0 & -2n & 0 \end{bmatrix}$$



projection of an **intrinsic point** (including homogeneous division):

$$[x, y, z, 1] \cdot M = \left[\frac{x}{z}A - B, \frac{y}{z}C - D, 1 - \frac{2n}{z}\right]$$

projection of an extrinsic point:

$$[x, y, z, 0] \cdot M = \left[\frac{x}{z}A - B, \frac{y}{z}C - D, 1\right]$$

Front face / back face



- from the point of view of camera
 - GPU can filter ("face cull") according to vertex order in NDS:

```
glEnable( GL_CULL_FACE );
glFrontFace( GL_CCW );
glCullFace( GL_BACK ); // draw front faces only
...
```

- from the point of view of light source
 - computed on CPU (normal vectors)
 - programmable GPU can help (vertex processing)
 - elimination of incorrect primitives (degeneration, clipping)
 - **drawback**: all potential primitives have to be sent to GPU



Face elimination techniques

- there is no good way of canceling a primitive in old-fashioned OpenGL
 - geometry shader can do the job (<u>clip-distance</u>, <u>cull-distance</u> /OpenGL ≥4.5/)
- every primitive would be supplied with information useful for the elimination
 - normal vector of the face
- example of primitive elimination
 - all coordinates can be set to [2, 0, 0, 1] (outside of the NDS frustum)
 - vertex sharing among primitives must not be used !

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Face elimination example





- infinite quadrangles projected from contour edges of shadow solid
 - "contours" according to light source (front / back faces)
- if the edge [x₁, y₁, z₁, 1] − [x₂, y₂, z₂, 1] is on the contour, infinite quad will be generated:
 [x₁, y₁, z₁, 1], [x₂, y₂, z₂, 1], [x₂, y₂, z₂, 0], [x₁, y₁, z₁, 0]
- contour edge decision on the GPU
 - every "edge" has additional 4 vertices (waste..)
 - normal vectors of <u>two incident faces</u> must be present

Shadow volume surface example





Soft shadows (occlusion interval maps)

- special method for static scene and light source moving along static curve
 - e.g.: static <u>exterier scene</u> and the <u>Sun</u>
- precomputed occlusion intervals for every surface point in the scene!
 - **indicator function** for the light (dependent on time)
 - time consuming (stochastic Ray-tracing 256 rays/px)
 - result map stored in special texture (beginnings and ends of time intervals)
 - **soft shadows** are interpolated in real-time on the GPU

Occlusion intervals



light source is moving along a static path:





to obtain soft shadows, original occlusion map should be blurred (fragment shader on the GPU):




beginnings ("R_i" - rise) and ends ("F_i" - fall) of the intervals are stored separately in 2 textures:



$$V_{lin}(t) = \int_{0}^{1} V_{point}(u) W_{dt}(t-u) du$$

$$V_{lin}(t) = \sum_{i=1}^{n} \frac{1}{dt} \cdot max \left(0, \ min(t+\frac{1}{2}dt, F_{i}) - max(t-\frac{1}{2}dt, R_{i}) \right)$$

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Fragment shader for interpolation

• \mathbf{R}_{i} and \mathbf{F}_{i} passed in **two textures** (up to 4 intervals)

"t-dt/2", "t+dt/2" and "1/dt" are uniforms

```
half softShadow ( sampler2D riseTex,
                         sampler2D fallTex,
                         float2 texCoord,
                         uniform half intStart, // t-dt/2
uniform half intEnd, // t+dt/2
uniform half intInvWidth ) // 1/dt
  half4 rise = h4tex2D( riseTex, texCoord );
half4 fall = h4tex2D( fallTex, texCoord );
  half4 minT = min( fall, intEnd );
  half4 maxT = max( rise, intStart);
   return dot( intInvWidth, saturate( minT - maxT ) );
}
```

Results





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- elementary solids converted to polyhedra
- set operations evaluated on the GPU:
 - **union** is trivial (default depth-buffer based rendering)
 - intersection and subtraction: use of stencil buffer, considering <u>front</u> vs. <u>back</u> faces

1989: Goldfeather et al.

- normalization of a CSG tree decomposition to union of "products" (intersections and differences)
- implementation uses several depth-buffers and a stencil buffer (needs to copy depth-buffers)



- 2000: Stewart et al. Sequenced Convex Subtraction ("SCS")
 - <u>does not need</u> depth-buffer copying, complex depth-tests
 - all elementary solids have to be convex
 - O(n) intersection of n solids
 - O(n²) difference of n solids (O(kn) limited occlusion)
- algorithm phases
 - 1. **preprocessing** (CSG normalization, sorting of subtraction sequences /front-to-back/)
 - 2. **depth-buffer processing** (for every product + merge)
 - 3. final rendering to **frame-buffer**



- init: depth = near; stencil = 0;
- passing through front faces of individual solids if (front > depth) depth = front;
- > passing through back faces (occlusions)
 if (back > depth) stencil++;
- * removing pixels with occlusion number < n
 if (stencil < n)
 {
 stencil = 0;
 depth = far;
 }</pre>



Intersection – example



Subtracting sequences



- determining correct subtracting sequence
 - front-to-end subtraction
 - e.g. X A B is replaced by universal X A B A
 - **A**,**B**,**A** is a correct universal **subtracting sequence**
 - see "sequences containing <u>all occlusion permutations</u>"
- every back-face is processed immediately as well
 if (back > depth && stencil == 1)
 depth = back;



Subtraction - step I





Subtraction – step II





Subtraction – step III





Subtraction - result



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Completely subtracted parts

- removing parts of common intersection, which were eliminated completely:
- init: stencil = 0;
- passing through all intersection solids (back faces only looking for empty results)
 if (back < depth) stencil = 1;
- elimination of completely subtracted parts
 if (stencil == 1) depth = far;
 stencil = 0;

Merging products & final rendering

- product result = its "depth buffer"
- merging results of one product (i.e. union operation)
 if (depth < depth_{total}) depth_{total} = depth;

final rendering

- different logic for intersections and subtractions
- intersected solid (for every pixel):
 if (front == depth_{total}) draw(front);
- subtracted solid (for every pixel):
 if (back == depth_{total}) draw(back);

Non-photorealistic rendering (NPR)

- **goal:** results similar to human 2D graphics
 - contour emphasis
 - **pen-and-ink drawing** simulation (hatching)
 - imitation of painting techniques (oil, watercolor)
 - "cartoon-style" shading
- approaches (techniques)
 - special textures (coarse shading tones, ..)
 - procedural textures (fragment shader)
 - **post-processing** (for specific painting techniques)
 - + combinations

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NPR examples







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- very important for human vision system
 - borderline between front-facing and back-facing parts
 - often connected to a hatching system (emphasizing curvature, slope of the surface or just for shading)
 - purely geometric information (for polyhedra)

contouring methods

- edges between front-faces and back-faces
- discontinuities of the **depth-buffer** (post-processing)
- discontinuities (edges) in other output data (see deferred shading, multiple output targets, ..)



Simple contouring method

no need for explicit definition of contours

- solids have to be regular (closed)
- two phases

1. **front-facing** faces only

- no special rendering style
- using "depth-buffer"
- see "glEnable(GL_CULL_FACE)", "glCullFace()"
- 2. edges of back-facing faces only
 - more thick line ("glLineWidth()") contour lines will stick out

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- **post-processing** of regularly rendered 3D scene
 - source: depth buffer, normal map, combinations, ..
- restricted Sobel filter works well (2 directions only):

$$S_{h} = \begin{pmatrix} 1 & 0 & -1 \\ 2 & 0 & -2 \\ 1 & 0 & -1 \end{pmatrix} \qquad S_{v} = \begin{pmatrix} 1 & 2 & 1 \\ 0 & 0 & 0 \\ -1 & -2 & -1 \end{pmatrix} \\ Edge \approx \sqrt{H^{2} + V^{2}}$$



Examples - depth and normals



Cartoon-style



0.7

0.3

0.0

- light model similar to "Blinn-Phong" 1.0
 - diffuse term "cos α"
 - optional specular term " $\cos^h \beta$ "
- - only small number of color tones
 - no texture filtering for sharp outlines!
- optional specular term with priority
 - thresholding for white-color highlight

BRDF (local reflectance)



("Bidirectional Reflectance Distribution Function")



Example of more complex BRDF



- 1977: Lafortune introduces efficient reflectance function representation using "lobes"
 - based on term similar to " $\cos^n \beta$ "
 - a "**lobe**" is represented by a function " $s(\omega_i, \omega_o)$ "
 - lobe direction can be derived from incoming and reflected vector, "C" vector is used for the definition
 - tangent coordinate space [t,n,b] is used
 - exponent "n" defines lobe width

$$f(\omega_{i} \rightarrow \omega_{o}) = \rho_{d} + \sum_{j} \rho_{s,j} \cdot s_{j}(\omega_{i}, \omega_{o})$$

$$s(\omega_{i}, \omega_{o}) = (C_{t} \omega_{i,t} \omega_{o,t} + C_{n} \omega_{i,n} \omega_{o,n} + C_{b} \omega_{i,b} \omega_{o,b})^{n}$$



Lafortune model – example





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Lobe orientations



•
$$C_t = C_b = -1, C_n = 1$$

- usual Phong lobe (rotated by 180° around normal)
- $\bullet C_t = C_b$
 - isotropic BRDF (surface orientation does not matter)
- $\bullet |\mathbf{C}_{\mathbf{n}}| < |\mathbf{C}_{\mathbf{t}}|$
 - non-mirror specular maximum (closer to tangent)
- $\bullet C_t > O, C_b > O$
 - back-reflection (see Oren-Nayar model)
- $sign(C_t) \neq sign(C_b)$
 - **anisotropic reflection** (brush strokes, rifts, grinding)



- reflection factors (~"albedo") ρ are [R,G,B] triples
 - stored separately for each term (one texture per term)
- four lobe parameters [C_t, C_n, C_b, n] in one texture
 - one to three lobes sufficient for realistic BRDF

environment map

- environment image can be blurred in pre-processing phase, using exponent n = 0, 1, 4, 16, 64 and 256
- in different MIP-map levels or in a 3D texture

Ambient occlusion



- constant "ambient term" is not good enough
 - does not consider occlusion (even self-occlusion)
 - "ridges" are equally lighted as "valleys"
- pre-computed average (potential) contribution of surround light to the surface point





Ambient occlusion example



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- for every surface point compute:
 - percentage of unoccluded rays from an environment (self-occlusion elimination) - "accessibility coefficient"
 - dominant light direction ("best lit from") "B"
 - technique: <u>Ray-tracing</u> or <u>special GPU computation</u>





accessibility coefficient

 multiplication factor for ambient light approximation (instead of the "k_A" constant)

dominant vector "B"

- addressing for the "environment light map"
 - map should be blurred in advance (" $\cos \alpha$ ")
- texture data are multiplied by the accessibility coefficient as well

Accessibility example I







Phong shading

Accessibility coefficient

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Accessibility example II (normals)



Model normals



Average unoccluded ray B

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Accessibility example III (environment)





Phong shading

Environment lighting

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Subsurface scattering



- very important for "photo-realism"
 - <u>human skin</u> ("Shrek 2", "Finding Nemo")
 - other <u>transcluent materials</u> (wax, milk, marble, amber,..)
 - precise implementation is very expensive (see "Participating media" term in photorealistic graphics)
- simplified approaches in real-time graphics
 - "wrap lighting" lighting extends "around the corner"
 - absorption simulation using "depth map"
 - absorption computed in tangent space

Wrap lighting



- naïve method
 - ignores shape and thickness of the object
 - does not try to compute light diffusion at all
- modifies the diffuse term "cos α" extends its influence to adjacent not illuminated parts of the surface (behind the "terminator")
 - simple linear transform of the dot product L·N
 - tint of the transition can be added (reddish for skin)

$$Diff = max \left(0, \frac{\cos \alpha + wrap}{1 + wrap} \right)$$

Wrap lighting example





Regular shading Light wrap Tinted wrap

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- HW-implemented "depth-map"
 - 1. "depth-map" from the point of view of **light source**
 - 2. solid **thickness** is known in render-time (fragment-shader)
- thickness is used for attenuation approximation
 - simple exponential dependency (can be cached in 1D texture)

Scatter =
$$C_{light} \cdot e^{-\sigma \cdot dist}$$

 0 dist

Depth-map attenuation





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Depth-map example





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- 1st pass: primary lighting, results are written to a texture [s, t] (see GPU technique "render targets")
 - vertex shader must provide texture coordinates and transform them into the NDS = [-1, 1]²
 - **good quality parametrization** of the surface !
 - fragment shader needs regular 3D coordinates as well
- subsequent passes: light-map processing (digital image filtering), computing capabilities ?
- the last pass: regular rendering
 - **light map** is used as a texture

Light map





Displacement mapping



- concept by Ken Perlin (1989, "hypertexture", rendered using new "ray marching" method)
 - surface point position is modulated by a "displacement function"
 - <u>actual modification</u> of point position (vs. "bump map")
- fragment position is computed by "sphere tracing" (Hart 1996) – originally for implicit surfaces (pointsurface distance)







Distance volume implementation

- ray casting in texture coordinates
 - **3D tangent space** with unit = 1 texel
 - init: direction vector computation ("dir")
- ◆ "distance map": each point receives distance to the closest real-surface point (" $\mathbf{R}^3 \rightarrow \mathbf{R}$ ")
 - pre-computation (Danielsson 1980 O(n) time)

```
float3 dir = normalize( in.tanEyeVec );
float3 texCoord = in.texCoord;
for ( int i = 0; i < NUM_ITERATIONS; i++ )
{
    float dist = f1tex3D( distanceTex, texCoord );
    texCoord += dist * dir;
}</pre>
```

Finishing



- after N iteration steps we have the result:
 - the ray hitted the surface (normal vector, lighting, ..)
 - the ray missed the surface (completely transparent fragment is returned)
 - virtual "GPU geometry" should be bigger than simulated shape



Parallax mapping/occlusion example



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