



Mathematics for 3D graphics

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Homogeneous coordinates, matrix transformations

coordinate-system conversions

Coordinate systems, projections, frustum

Orientations

- Euler angles, quaternions
- orientation interpolation

Smooth interpolations and approximations

- spline functions, natural spline, B-spline
- Hermite-type interpolations
- KB spline, Catmull-Rom...



Cartesian 3D coordinate vector [x, y, z]

Multiplying by a 3×3 matrix

row vector multiplied from the right (DirectX)

$$\begin{bmatrix} x, y, z \end{bmatrix} \cdot \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} = \begin{bmatrix} x', y', z' \end{bmatrix}$$

column vector multiplied from the left (OpenGL)

Transform matrices 3×3 have serious drawback – **cannot do translations!**



Homogeneous coordinate vector [x, y, z, w]

Transformation: multiplying by a 4×4 matrix

$$\begin{bmatrix} x, y, z, w \end{bmatrix} \cdot \begin{bmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{bmatrix} = \begin{bmatrix} x', y', z', w' \end{bmatrix}$$

Homogeneous matrix is able to do translations and perspective projections



From **homogeneous coordinates** [x, y, z, w] into Cartesian coordinates: by division $(w \neq 0)$ [x/w, y/w, z/w]

Coordinate vector [**x**, **y**, **z**, **0**] does not correspond to any real point in space

- can be interpreted as a **directional vector** (point in infinity)

From **Cartesian coordinates** to homogeneous: trivial extension [x, y, z] ... [x, y, z, 1]



Affine transformation

Upper left submatrix $[a_{11} to a_{33}]$ defines scaling, orientation and shear

- translation is performed as the last step



Normal vectors must not be transformed by regular matrices (like point positions are)

– exception: M is rotational (orthonormal)

Normal-vector transformation matrix **N**:

$$N = (M^{-1})^T$$



Coordinate systems in OpenGL





Coordinate systems in OpenGL





[x, y] actual screen coordinates (fragments)

z depth value compatible with actual depth-buffer



Object space

- modeling of individual objects, modularity
- 3D modeling software (3DS Max, Blender, Rhino...)

World space

- absolute (real) coordinates in simulated virtual world
- object instantiation, collision detection, AI planning...

Camera space

- the whole virtual world transforms into coordinates relative to a camera
- center of projection: origin, view direction: -z (or z)



Transformation "model \rightarrow camera"

- altogether "model-view" matrix
- world coordinates are not directly used in rendering pipeline

Projection transformation

- defines visible volume = frustum [l, r, b, t, n, f]
- front & back clip distances: n, f
- result: homogeneous coordinate (before clipping)

"Clip space"

– mandatory output coordinate of vertex shader!

Projection transform (perspective)





Perspective division

- just converts homogeneous coordinates into cartesian

Normalized coordinates ("NDS")

- standard-sized cube/cuboid
- OpenGL: [-1, -1, -1] to [1, 1, 1]
- DirectX: [-1, -1,0] to [1, 1, 1]

Window coordinates ("window space")

- result of linear adjustment to window size in pixels
- used in rasterizer and all fragment processing





Preserves shapes, alters orientation & position

- translation and rotation
- conversion between coordinate systems (e.g. between world-space and camera-space)



Conversion between two orientations





Euler transformation





Arbitrary rotation decomposed into **three components**

- Leonard Euler (1707-1783)

$$E(h, p, r) = R_y(h) \cdot R_x(p) \cdot R_z(r)$$

h (head, yaw): plan view directionp (pitch): forward/backward pitchingr (roll): rolling around the view vector



Result matrix of rotation

$$E = \begin{pmatrix} c(r)c(h) - s(r)s(p)s(h) & s(r)c(h) + c(r)s(p)s(h) & -c(p)s(h) \\ -s(r)c(p) & c(r)c(p) & s(p) \\ c(r)s(h) + s(r)s(p)c(h) & s(r)s(h) - c(r)s(p)c(h) & c(p)c(h) \end{pmatrix}$$

$$s(x) \dots s(n(x), c(x) \dots cos(x)$$

Backward matrix \rightarrow angles computation h, p, r

$$- p \dots e_{23}$$

 $- r \dots e_{21}/e_{22}$

$$-h \dots e_{13}/e_{33}$$



- 1. rotation around z by ϕ
- 2. rotation around $\mathbf{x'}$ by $\boldsymbol{\theta}$
- 3. rotation around z'' by ψ

X-convention

- 1. rotation around **z**
- 2. rotation around <u>original</u> **x**
- 3. rotation around <u>original</u> **z**

More systems (24): aeronautics, gyroscopes, physics...



Sir William Rowan Hamilton, 16 Oct 1843 (Dublin)

- $-i^2 = j^2 = k^2 = ijk = -1$
- usage in graphics since 1985 (Shoemake)
- generalization of complex numbers in 4D space

$$\mathbf{q} = (\mathbf{v}, \mathbf{w}) = \mathbf{i} \mathbf{x} + \mathbf{j} \mathbf{y} + \mathbf{k} \mathbf{z} + \mathbf{w} = \mathbf{v} + \mathbf{w}$$
 sometimes $(\mathbf{w}, \mathbf{v})!$

Imaginary part $\mathbf{v} = (x, y, z) = i x + j y + k z$

$$i^2 = j^2 = k^2 = -1$$
, $jk = -kj = i$, $ki = -ik = j$, $ij = -ji = k$



Addition

$$- (\mathbf{v}_{1'} \mathbf{w}_{1}) + (\mathbf{v}_{2'} \mathbf{w}_{2}) = (\mathbf{v}_{1} + \mathbf{v}_{2'} \mathbf{w}_{1} + \mathbf{w}_{2})$$

Multiplication

$$-\mathbf{q}\mathbf{r} = (\mathbf{v}_{\mathbf{q}} \times \mathbf{v}_{\mathbf{r}} + w_{\mathbf{r}}\mathbf{v}_{\mathbf{q}} + w_{\mathbf{q}}\mathbf{v}_{\mathbf{r}'} \ w_{\mathbf{q}}w_{\mathbf{r}} - \mathbf{v}_{\mathbf{q}} \cdot \mathbf{v}_{\mathbf{r}'}),$$

$$i(q_{y}r_{z} - q_{z}r_{y} + r_{w}q_{x} + q_{w}r_{x}),$$

$$j(q_{z}r_{x} - q_{x}r_{z} + r_{w}q_{y} + q_{w}r_{y}),$$

$$k(q_{x}r_{y} - q_{y}r_{x} + r_{w}q_{z} + q_{w}r_{z}),$$

$$q_{w}r_{w} - q_{x}r_{x} - q_{y}r_{y} - q_{z}r_{z}$$



Conjugation

$$(v, w)^* = (-v, w)$$

Norm (squared absolute value)

$$- ||\mathbf{q}||^2 = n(\mathbf{q}) = \mathbf{q} \, \mathbf{q}^* = x^2 + y^2 + z^2 + w^2$$

Unit

Reciprocal

 $- q^{-1} = q^* / n(q)$

Multiplication by a scalar

$$- s q = (0, s) (v, w) = (s v, s w)$$



Every unit quaternion $(x^2 + y^2 + z^2 + w^2 = 1)$ can be expressed as

- $\mathbf{q} = (\mathbf{u}_{\mathbf{q}} \sin \phi, \cos \phi)$
- for some unit 3D vector u_q

It represents a rotation (orientation) in 3D

- **ambiguity**: both **q** and -**q** represent the same rotation! $(\phi + \pi)$
- identity (zero rotation): (0, 1)

Power, exponential, logarithm

$$- \mathbf{q} = \mathbf{u}_{q} \sin \phi + \cos \phi = \exp (\phi \mathbf{u}_{q}), \quad \log \mathbf{q} = \phi \mathbf{u}_{q}$$

 $- \mathbf{q}^{t} = (\mathbf{u}_{q} \sin \phi + \cos \phi)^{t} = \exp(t\phi \mathbf{u}_{q}) = \mathbf{u}_{q} \sin t\phi + \cos t\phi$

Unit quaternion

- $\mathbf{q} = (\mathbf{u}_{\mathbf{q}} \sin \phi, \cos \phi)$
- $\mathbf{u}_{\mathbf{q}}$... axis of rotation, $\boldsymbol{\phi}$... angle
- Vector (point) in 3D: $\mathbf{p} = [p_{x'}, p_{y'}, p_{z'}, 0]$

Rotation of vector (point) **p** around \mathbf{u}_{q} by angle 2ϕ

$$p' = q p q^{-1} = q p q^*$$









Quaternion **q** converted to a matrix

$$M = \begin{pmatrix} 1 - 2(y^2 + z^2) & 2(x y + w z) & 2(x z - w y) \\ 2(x y - w z) & 1 - 2(x^2 + z^2) & 2(y z + w x) \\ 2(x z + w y) & 2(y z - w x) & 1 - 2(x^2 + y^2) \end{pmatrix}$$

Reverse conversion is based on equations

$$m_{23} - m_{32} = 4wx$$

$$m_{31} - m_{13} = 4wy$$

$$m_{12} - m_{21} = 4wz$$

$$tr M + 1 = 4w^{2}$$

(\$)



1. "matrix_trace+1" has large enough absolute value

$$w = \frac{1}{2} \sqrt{tr M + 1} \quad x = \frac{m_{23} - m_{32}}{4w}$$
$$y = \frac{m_{31} - m_{13}}{4w} \quad z = \frac{m_{12} - m_{21}}{4w}$$

2. ... otherwise compute a component with largest absolute value first and then apply \$

$$4x^{2} = 1 + m_{11} - m_{22} - m_{33}$$

$$4y^{2} = 1 - m_{11} + m_{22} - m_{33}$$

$$4z^{2} = 1 - m_{11} - m_{22} + m_{33}$$



Real parameter $0 \le t \le 1$

Interpolated quaternion



 $slerp(q, r, t) = q (q*r)^t$

$$slerp(q,r,t) = \frac{\sin(\phi(1-t))}{\sin\phi} \cdot q + \frac{\sin(\phi t)}{\sin\phi} \cdot r$$
$$\cos\phi = q_x r_x + q_y r_y + q_z r_z + q_w r_w$$

The shortest spherical arc between **q** and **r** (quaternion splines will be explained later)







Two vectors **s** and **t**

- 1. normalization of **s**, **t**
- 2. unit rotation axis $\mathbf{u} = (\mathbf{s} \times \mathbf{t}) / ||\mathbf{s} \times \mathbf{t}||$
- 3. angle between **s** and **t** $\mathbf{e} = \mathbf{s} \cdot \mathbf{t} = \cos 2\phi$

$$||s \times t|| = sin 2\phi$$

4. final quaternion

 $q = (u \cdot \sin \phi, \cos \phi)$

$$q = (q_v, q_w) = \left(\frac{1}{\sqrt{2(1+e)}}(s \times t), \frac{\sqrt{2(1+e)}}{2}\right)$$



Two rotational matrices **Q** and **R**

```
Real parameter 0 \le t \le 1
```

Interpolated matrix $slerp(Q, R, t) = Q (Q^T R)^t$

Technical problem – how to do power operation on matrices?

Need to compute axis and angle $Q^T R$ (not very efficient)

See "RotationIssues.pdf" for details (D. Eberly)



Rotation representation – summary

Rotational matrix

+ HW support, efficient point/vector transformation

memory (float[9]), other operations are not so efficient

Rotational axis and angle

- + memory (float[4] or float[6]), similar to quaternion
- inefficient composition and interpolation

Quaternion

- + memory (float[4]), composition, interpolation
- inefficient point/vector transformation

See "RotationIssues.pdf" for details (D. Eberly)

Approximation and interpolation



Approximation (e.g. B-spline)

- needs not to pass through control points

Interpolation (e.g. Catmull-Rom)

– curve passes through control points





Curve continuity

- G^n geometric continuity of the n^{th} order (G^0 simple continuity, G^1 tangent, G^2 curvature...)
- Cⁿ analytical continuity of the nth order, nth derivative continuity
 (C¹ speed, C² acceleration), superior to geometric continuity





Curves in modeling industry

- Paul de Faget **de Casteljau**, Citroën (1959)
- Pierre **Bèzier** (Renault 1933-1975, UNISURF)
 - » late start, but his results were more popular
- application of spline function theory mostly in USA (James Ferguson, 1964, Boeing, C² spline curves)

Spline function theory

- B-spline: Isaac Jacob Schoenberg, (ballistics, Aberdeen, MD, 1946)
- theory: Carl **de Boor** (also worked for General Motors)
- Gordon, Riesenfeld united Bèzier and B-spline curves (1972)

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"Free-form" curves l

Defined by a sequence of **control points**

- "control polygon"
- approximation or interpolation
- boundary conditions can be different

Controllability

- sometimes tangent vectors added in control points (Hermit)
- interpolation \Rightarrow closer control

Locality

 change of single control point (one tangent vector) induces change in a restricted neighborhood only

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Parametric expression ($0 \le t \le 1$)

$P(t) = \sum_{i=0}^{N-1} w_i(t) P_i$

Convex hull property

- curve lies in convex hull of its control polygon

Cauchy condition for blending functions

- sufficient for convex hull property
- ensures affine transformation invariancy

$$\sum_{i=0}^{N-1} w_i(t) = 1$$









Named after elastic ruler used in ship design (pinned in several points by "ducks")

Definition: spline function of degree n

- piece-wise polynomial (of degree n)
- maximum-smoothness connection:

Cⁿ⁻¹ – continuity of **n-1**th derivative (polynomial of degree **n**)

- global parametrization u, $u_0 \le u \le u_N$ $[u_0, u_1, ..., u_N]$
- individual parts are often uniformly parametrized uniform spline $t_i = (u u_i) / (u_{i+1} u_i), 0 ≤ t_i ≤ 1$



Matrix notation

$$P(t) = TC = [t^{n}, t^{n-1}, \dots, t, 1] \cdot \begin{bmatrix} x_{n} & y_{n} & z_{n} \\ x_{n-1} & y_{n-1} & z_{n-1} \\ \dots & \dots & \dots \\ x_{1} & y_{1} & z_{1} \\ x_{0} & y_{0} & z_{0} \end{bmatrix}$$

Basis matrix **M** and vector of geometric conditions **G**

$$\boldsymbol{C} = \boldsymbol{M}\boldsymbol{G} = [\boldsymbol{m}_{ij}]_{i=n, j=1}^{0, k} \cdot \begin{bmatrix} \boldsymbol{G}_1 \\ \vdots \\ \boldsymbol{G}_k \end{bmatrix} \quad \boldsymbol{P}(t) = \boldsymbol{T}\boldsymbol{M}\boldsymbol{G}$$



P(t) = T C = T M G

- separation of a parameter vector (T) from polynomial basis (M) and geometric control conditions/points (G)
- differentiation (tangent, curvature) restricted to T
- control polynomial TM times "geometry" G

Cubic: n = 3, k = 4

$$Q(t) = [t^{3}, t^{2}, t, 1] \cdot \begin{bmatrix} m_{11} & m_{12} & m_{13} & m_{14} \\ m_{21} & m_{22} & m_{23} & m_{24} \\ m_{31} & m_{32} & m_{33} & m_{34} \\ m_{41} & m_{42} & m_{43} & m_{44} \end{bmatrix} \cdot \begin{bmatrix} G_{1} \\ G_{2} \\ G_{3} \\ G_{4} \end{bmatrix}$$



Ferguson curve (cubic)

Geometry: endpoints and tangent vectors

- beginning (\mathbf{P}_0) and end (\mathbf{P}_1) of a curve
- tangents in beginning (T_0) and ending (T_1) points

$$F(t) = [t^{3}, t^{2}, t, 1] \cdot \begin{bmatrix} 2 & -2 & 1 & 1 \\ -3 & 3 & -2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} P_{0} \\ P_{1} \\ T_{0} \\ T_{1} \end{bmatrix}$$

Hermite cubic – examples







Interpolating cubics derived from Hermite

- general: Kochanek-Bartels (KB-spline, TCB cubic)
- special: cardinal spline, Catmull-Rom spline
- Akima interpolation ("Akima spline", not C²)
- **D-spline** cubic

Another popular curves

- Bèzier curves
- **B-spline** curve, **Coons** spline (approximation)
- natural spline (interpolation)



Derived from Hermite cubic (3DS Max, Lightwave)

- tangent vectors are derived from control points
- three additional scalar parameters (zero by default)
 - » "tension" t: sharpness of a curve passing control point (absolute value of a tangent vector)
 - » "continuity" c: in control points
 - » "bias" b: tangent direction in control point

Left and **right** tangent (T_0 and T_1 in local sense):

$$\begin{split} L_i &= \frac{(1-t)(1-c)(1+b)}{2} \cdot (P_i - P_{i-1}) + \frac{(1-t)(1+c)(1-b)}{2} \cdot (P_{i+1} - P_i) \\ R_i &= \frac{(1-t)(1+c)(1+b)}{2} \cdot (P_i - P_{i-1}) + \frac{(1-t)(1-c)(1-b)}{2} \cdot (P_{i+1} - P_i) \end{split}$$



Cardinal spline, Catmull-Rom spline

Special cases of KB-spline

cardinal spline

-a = t = 1/2

– parameter a only (in fact relates to "t", c = b = 0)

 $T_i = a \cdot (P_{i+1} - P_{i-1}) \qquad 0 \le a \le 1$

Catmull-Rom spline

$$T_{i} = \frac{1}{2} \cdot (P_{i+1} - P_{i-1})$$

$$MG = \frac{1}{2} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 2 & -5 & 4 & -1 \\ -1 & 0 & 1 & 0 \\ 0 & 2 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} P_{i-1} \\ P_i \\ P_{i+1} \\ P_{i+2} \end{bmatrix}$$

Akima interpolation

Alternative definition of **tangent vectors** for Hermite cubic:





D-spline cubic



One more variant of Hermite cubic

- tangent vector computed by the "D-interpolation"



Bèzier curves l



Polynomial curve of degree N

- N+1 control points
 - » boundary control points define endpoints of a curve
 - » boundary control-point pairs define tangent vectors
- parametric expression using **Bernstein polynomials**
- easy G^1 or C^1 connection
- spline-join is also possible, but much more complicated

Bernstein polynomials:

$$B_{i}^{n}(t) = \binom{n}{i} t^{i} (1-t)^{n-i} \quad 0 \leq i \leq n, \quad 0 \leq t \leq 1$$

Bèzier curves II

Cauchy condition

 \Rightarrow convex combination of control points





Joining Bèzier curves l





Joining Bèzier curves II







Joining Bèzier curves III



Quadratic spline from Bèzier segments



$$\overrightarrow{P_1P_2} = \overrightarrow{P_2P_3} \quad \overrightarrow{P_3P_4} = \overrightarrow{P_4P_5} \quad \dots \quad \overrightarrow{P_{2k-1}P_{2k}} = \overrightarrow{P_{2k}P_{2k+1}}$$





$$\overline{P_2 P_3} = \overline{P_3 P_4} \quad \overline{P_5 P_6} = \overline{P_6 P_7} \quad \dots \quad \overline{P_{3k-1} P_{3k}} = \overline{P_{3k} P_{3k+1}}$$



Geometric construction of Bèzier curve

used as "subdivision" scheme or for computation of a specific point...



Linear interpolation LERP (SLERP for quaternions)

 $LERP(A, B, t) = A \cdot (1 - t) + B \cdot t$



Cubic Bèzier

 $Q_{i} = LERP(P_{i'} P_{i+1}, t)$ $R_{i} = LERP(Q_{i'} Q_{i+1}, t)$ $S_{i} = LERP(R_{i'} R_{i+1}, t)$



[S]LERP for quadratic interpolation



Quadratic Bèzier

 $Q_{i} = LERP(P_{i'} P_{i+1'} t)$ $R_{i} = LERP(Q_{i'} Q_{i+1'} t)$



Function assembled from cubic polynomials

- neighbor polynomials have C² joint
- elastic "spline-ruler" (see construction)

Interpolating cubic spline

Cubic spline

- in <u>knot points</u> $\mathbf{x}_0, \mathbf{x}_1, \dots \mathbf{x}_n$ <u>function values</u> $\mathbf{y}_0, \mathbf{y}_1, \dots \mathbf{y}_n$ are prescribed

$$S(x) = S_{k}(x) = s_{k,0} + s_{k,1}(x - x_{k}) + s_{k,2}(x - x_{k})^{2} + s_{k,3}(x - x_{k})^{3}$$

$$x \in [x_{k}, x_{k+1}], \quad k = 0, 1, \dots, n-1$$

Condition A: $S(x_k) = y_k$ k = 0, 1, ..., n





Condition **B** (C⁰ continuity):

$$S_k(x_{k+1}) = S_{k+1}(x_{k+1})$$
 $k=0, 1, ..., n-2$

Condition **C** (C¹ continuity):

$$S_{k}'(x_{k+1}) = S_{k+1}'(x_{k+1})$$
 $k=0, 1, ..., n-2$

Condition **D** (C² continuity):

 $S_{k}^{''}(x_{k+1}) = S_{k+1}^{''}(x_{k+1})$ k=0, 1, ..., n-2

Natural cubic spline has an additional condition E:

$$S^{''}(x_0) = S^{''}(x_n) = 0$$



Interpolating spline

- uniquely determined by the conditions (solution of linear system of equations s_{k,l})
- has no local property (the whole curve changes after altering one control point)

Open spline

- conditions A, B, C, D are not sufficient, two more DoF
- additional condition **E** (second derivatives at endpoints)

Closed (cyclic) **spline**: $\mathbf{x}_0 = \mathbf{x}_n$

- **C** and **D** give us missing conditions for \mathbf{x}_0



"Free-form" curve

- shape is defined by a sequence of **control points**
- parametric form using basis/blending functions (dependency of a curve point on control polygon)
- local property (only local change after altering one CP)

Uniform cubic B-spline (Coons curve)

unified set of basis functions (cubic polynomials)

Nonuniform B-spline

– more complicated definition using knot vector $[\mathbf{t}_i]_i \quad \mathbf{0} \le \mathbf{t}_i \le \mathbf{1}$

Coons B-spline





- continuity C²
- **sharing** 3 CP between neighbours
- altering one CP induces change in closest 4 segments

$$\boldsymbol{M}\boldsymbol{G} = \frac{1}{6} \begin{bmatrix} -1 & 3 & -3 & 1\\ 3 & -6 & 3 & 0\\ -3 & 0 & 3 & 0\\ 1 & 4 & 1 & 0 \end{bmatrix} \cdot \begin{bmatrix} P_{i-1} \\ P_i \\ P_{i+1} \\ P_{i+2} \end{bmatrix}$$



Spline interpolation of quaternions

Subsequent interpolation by a sequence of orientations

$$q_{0'} q_{1'} \dots q_n$$

- slerp($\mathbf{q}_{i}, \mathbf{q}_{i+1}, \mathbf{t}$) has not sufficient continuity (C⁰ only)





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http://www.geometrictools.com/ (Dave Eberly)